

Attorney's Docket No.: 10830-082001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kcisuke Asami  
Serial No. : 10/008,448  
Filed : December 7, 2001  
Title : Wavelength Tunable Light Source

Art Unit: 2881  
Examiner:

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS

In response to the Request for Substitute Papers mailed March 22, 2002, (a copy of which is enclosed), applicant notes that the following items have been filed in connection with this application:

1. December 7, 2001 by Express Mail--new application, including an assignment and priority document;
2. December 28, 2001 by First Class Mail--information disclosure statement; and
3. January 31, 2002 by First Class Mail--response to Notice to File Corrected Application.

Applicant has not yet received the PTO date-stamped post-card for item 2; the PTO date-stamped post-card for item 3 indicates that item 3 was received by the PTO on March 5, 2002. Therefore, none of the items appears to have been filed on February 11, 2002 and applicant is uncertain for which items (if any) the PTO is requesting substitute papers.

Since item 3 represents the papers that were most recently filed by the applicant in connection with this application, applicant encloses copies of item 3. A copy of the PTO date-stamped postcard (indicating the March 5, 2002 date) is enclosed as well.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

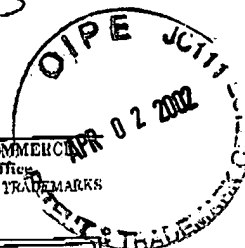
Signature

Typed or Printed Name of Person Signing Certificate



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231  
 www.uspto.gov



Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/008,448	12/07/2001	Asami, Keisuke	10830-082001

SAMUEL BORODACH  
 Fish & Richardson P.C.  
 Suite 2800  
 45 Rockefeller Plaza  
 New York NY 10111

RECEIVED

APR 01 2002

FISH & RICHARDSON, P.C.  
NEW YORK CITY OFFICE

Title: Wavelength tunable light source

Docketed By: [illegible]

Due Date: [illegible]

Req. for Sub Papers  
 Deadline 4-15-02  
 Date Mailed 03/22/2002

## Request for Substitute Papers

The papers filed on 02/11/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: SAMUEL BORODACH Registration No.: 38,388

Signature: [Signature] Date: 4-2-02

Attorney's Docket No.: 10830-082001  
Client's Ref. No.: A36-137195M/YS

**OFFICIAL COMMUNICATION**  
**FOR THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**FAX NO: 703-746-4060 (OIPE)**



Number of pages including this page //

Applicant : Keisuke Asami  
Serial No. : 10/008,448  
Filed : December 7, 2001

Art Unit : 2881  
Examiner :

**FACSIMILE COMMUNICATION**

Title : Wavelength Tunable Light Source

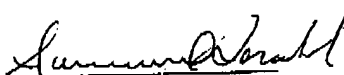
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached to this facsimile communication cover sheet is a Response to Request for Substitute Papers, faxed this 2<sup>nd</sup> day of April, 2002 to the United States Patent and Trademark Office.

Respectfully submitted,

Date: April 2, 2002

  
Samuel Borodach  
Reg. No. 38,388

Fish & Richardson P.C.  
45 Rockefeller Plaza, Suite 2800  
New York, New York 10111  
Telephone: (212) 765-5070  
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NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at [redacted] Thank you.

Received from <2122582291> at 4/2/02 3:21:25 PM [Eastern Standard Time]

Attorney's Docket No. 10830-082001	Express Mail Label No.	Mailing Date January 31, 2002	<b>For PTO Use Only</b> <i>Do Not Mark in This Area</i>
Application No. 10/008,448	Filing Date December 7, 2001	Attorney/Secretary Init SXB/ptr	
Title of the Invention WAVELENGTH TUNABLE LIGHT SOURCE			
Applicant Keisuke Asami			
Enclosures Response to Notice to File Corrected Application Papers (1 pages) Drawings (formal, 4 sheets) Notice to File Corrected Application Papers			



Attorney's Docket No.: 10830-082001 / A36-137195M/YS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Keisuke Asami  
Serial No. : 10/008,448  
Filed : December 7, 2001  
Title : WAVELENGTH TUNABLE LIGHT SOURCE

Art Unit : Unknown  
Examiner : Unknown

COPY OF PAPERS  
ORIGINALLY FILED

2/11/02



Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

In response to the Notice to File Corrected Application Papers mailed January 23, 2002 (copy enclosed), applicant as a large entity submits herewith the following:

- \* four (4) sheets of formal drawings in compliance with 37 C.F.R. 1.84.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/31/02

Samuel Borodach  
Samuel Borodach  
Reg. No. 38,388

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503  
www.uspto.gov

APR 02 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/008,448	12/07/2001	Keisuke Asami	10830-082001

CONFIRMATION NO. 5082

## FORMALITIES LETTER

\*OC000000007346083\*

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New York, NY 10111

COPY OF PAPERS  
ORIGINALLY FILED  
02/11/02

Date Mailed: 01/23/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

*A copy of this notice **MUST** be returned with the reply.*

*H. Truong*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY